Liping Dai 12 Sept. 2023

**Assistant Professor** 

# Reflection on Sponge City Programme

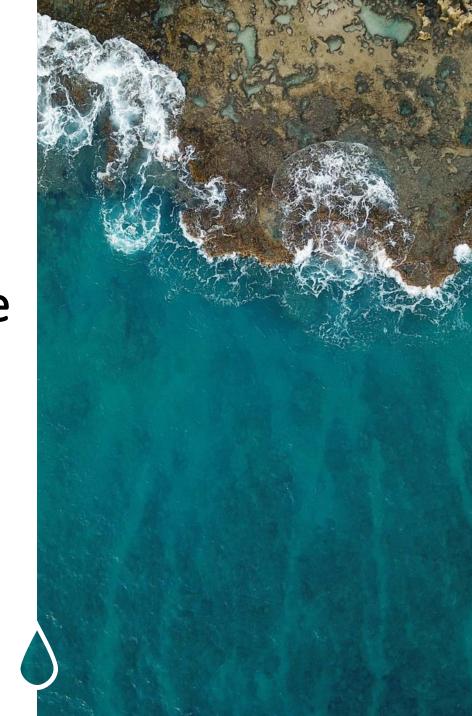
XVIII World Water Congress Beijing

CENTRE FOR

WATER, OCEANS AND SUSTAINABILITY LAW







### **UCWOSL**

The Utrecht Centre for Water,
Oceans and Sustainability Law
(UCWOSL) of Utrecht University
does research in the area of water
law, oceans law, environmental
law, climate law and sustainability
law.



CENTRE FOR WATER, OCEANS AND SUSTAINABILITY LAW

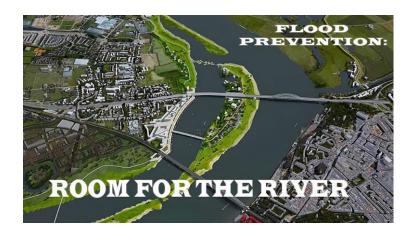


# Research History











## Reflections

- Assessment indicators & Accountability system
  - legitimacy
- Technical guidelines/ standards



- --- showcased an understanding of the complexities involved
- --- demonstrated competence in management

## Outlook

#### **Change mindsetting:**

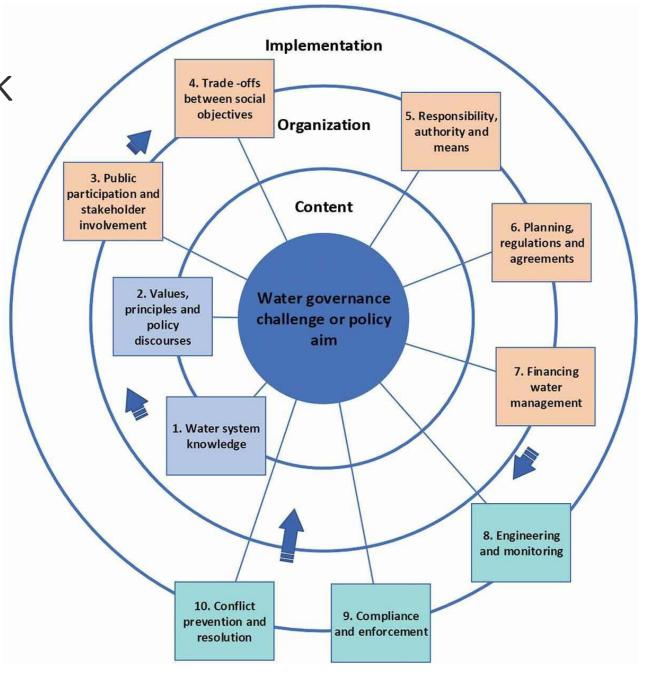
engineering\ technical project \_\_\_\_\_ social-cultural project

#### Give more weight to

- Ecological restoration
- Regular progress assessments
- Social equality
- Environmental justice
- Overall governance

10-Building Block Framework

- An interdisciplinary assessment approach
- Capture the complexity of water governance dynamics
- Three dimensions (Content, Organization and Implementation



# **Assessment Questions**

Is there sufficient knowledge of the existing water system in order to deliver the required service level of societal functions? If not, what are the gaps? Is sufficient knowledge available to assess the impact on the water system because of changes in environment and societal functions?

Is there sufficient knowledge of shared or conflicting values, viewpoints and principles (represented by different policy discourse coalitions) for water issues and their consequences for facing water management issues?

Are all relevant stakeholders involved? Are their interests, concerns and values sufficiently balanced and considered in the problem analysis, solution search process and decision-making?

Are agreed service-level decisions based on trade-offs of costs, benefits and distributional effects of various alternatives?

Are authorities, responsibilities and means well-organized to deal with water issues at the appropriate administrative scale(s) in a participative and integrative way?

Are regulations and agreements legitimate and adaptive, and if not, what are the main problems with regard to the abovementioned legitimacy aspects?

Is the financial arrangement sustainable and equitable?

Are SLAs sufficiently available (implicit or explicit) in order to redesign the existing infrastructure? Are the design and consequences of different alternatives sufficiently available? Is there sufficient monitoring of the system and are the data analysed?

Are regulations and agreements enforceable by public and/or private parties, and are appropriate remedies available?

Are there sufficient conflict prevention and resolution mechanisms in place?

## The Water Test Process

Idea Phase

Initiative Phase

Development and Advice Phase

Decision-making
Phase

Implementation and Management Phase

| Initiator  | Phases           | Water Manager  |
|--|------------------|--|
| <ul> <li>Involves stakeholders in process</li> <li>Consults water manager information</li> <li>Map out private participants</li> </ul> | Idea Phase       | <ul> <li>Joins the conversation</li> <li>Knows the conditions</li> <li>Knows water interests</li> <li>Communicates information about water system (among others)</li> </ul>        |
| <ul> <li>Requires water information</li> <li>Makes agreements and writes<br/>memorandum together</li> </ul>                            | Initiative Phase | <ul> <li>Provides water information</li> <li>Brings in water concerns</li> <li>Indicates permits or exemptions</li> <li>Makes agreements and writes memorandum together</li> </ul> |

Idea Phase

Initiative Phase

Development and Advice Phase

Decision-making
Phase

Implementation and Management Phase

| Initiator   | Phases                              | Water Manager   |
|---|-------------------------------------|---|
| Develops the plan   | Development and<br>Advice Phase     | <ul> <li>Thinks together</li> <li>Checks (pre)design and writes Water Advice</li> </ul>   |
| <ul> <li>Writes Water Paragraph using of<br/>Water Advice</li> <li>Sends draft decisions</li> <li>Organizes public participation</li> </ul> | Decision-making<br>Phase            | <ul> <li>Checks draft decisions</li> <li>Possibly submits an Opinion</li> <li>Consults with the State or province, if necessary, about the Opinion and indication</li> <li>Raises any objections to the Council of State</li> </ul> |
| <ul> <li>Implements the plan</li> <li>Takes care of the implementation or<br/>transfer the management</li> </ul>                            | Implementation and Management Phase | <ul> <li>Grants waiver or permit, if necessary and possible</li> <li>Monitors the implementation and management</li> </ul>  |

## Lessons Learned

- Political Willingness/commitments
- Laws and Guidelines are in place
- Stakeholders Involvement
- Periodic evaluation



# Room for the River Programme

#### Types of measures: our Toolkit





Measures in and around rivers | Rijkswaterstaat

## Lessons learned

#### "Decentralize what can"

- PKB---a framework-setting instrument at the national level and legally binding
- Administrative cooperation agreements--decentralized governments committed to the implementation of the PKB



#### **Participation**

- Informal participation---information evenings, kitchen table conversations...
- taking the community's input into the decision-making process as much as possible



#### Flexibility in Decision-making

**Project decisions**--limited flexibility (e.g. permits, exemptions, or other approvals) but not prevent innovative solutions

Implementation decisions--giving contractors freedom to determine the method of execution





Thank you!